

# Virendra Bhatt

Company Secretary

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Name of the Company : ASHAPURA MINECHEM LIMITED

CIN : L14108MH1982PLC026396

Registered Office : JEEVAN UDYOG BLDG, 3<sup>RD</sup> FLOOR, 278, D. N. ROAD,  
FORT, MUMBAI - 400001, MAHARASHTRA, INDIA.

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR  
ENDED 31<sup>ST</sup> MARCH, 2024**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 08<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018 ("SEBI's operational guidelines"), amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01<sup>st</sup> April, 2019.

Further, SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 ("SEBI's operational guidelines") had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, I have examined all the relevant documents relating to **Ashapura Minechem Limited** maintained by **Link Intime India Private Limited ("RTA")** for the purpose of issuing the Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from **01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024** and based on the information provided, I hereby certify that:

- A. As informed to me, the RTA has not processed any physical transfer, change of name, transmission, transposition of securities, renewal, consolidation, exchange, issue of duplicate



securities certificate, deletion of name and sub-division (split) etc. as per SEBI's operational guidelines.

**Date: 16<sup>th</sup> April, 2024**

**Place: Mumbai**

**UDIN: A001157F000140428**



**Virendra G. Bhatt**

**Practicing Company Secretary**

**ACS No.: 1157 / COP No.: 124**

**Peer Review Cert. No.: 1439/2021**